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JC878 U.S. PTO

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09/612635  
07/07/00

Frederick P. Fish  
1855-1930

W.K. Richardson  
1859-1951

July 7, 2000

Attorney Docket No.: 09150-012001

**Box Patent Application**  
Commissioner for Patents  
Washington, DC 20231

Presented for filing is a new original patent application of:

Applicant: Santa Wiryaman and David Romrell

Title: BANDWIDTH MANAGEMENT SYSTEM

Enclosed are the following papers, including those required to receive a filing date under 37 CFR 1.53(b):

	Pages
Specification	13
Claims	8
Abstract	1
Declaration	2
Drawing(s)	7

Enclosures:

- New disclosure information, including:
  - Information disclosure statement, 1 pages.
  - PTO-1449, 1 pages.
  - References, 9 items.
- Small entity statement. This application is entitled to small entity status.
- Postcard.

Basic filing fee

\$345

## CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL266440269US

I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

July 7, 2000  
Date of Deposit

Samantha Bell  
Signature

Samantha Bell  
Typed or Printed Name of Person Signing Certificate

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TWIN CITIES

WASHINGTON, DC

Commissioner for Patents

July 7, 2000

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Total claims in excess of 20 times \$9	\$207
Independent claims in excess of 3 times \$39	\$0
Fee for multiple dependent claims	\$0
Total filing fee:	\$552

A check for the filing fee is enclosed. Please apply any other required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above.

This application claims the benefit under Title 35, United States Code, Section 119(e)(1) of United States provisional application Serial No. 60/171,321, filed December 21, 1999, which is currently pending the United States Patent and Trademark Office.

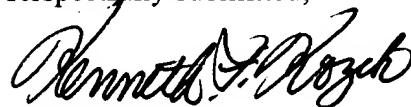
If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (617) 542-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

ERIC L. PRAHL  
Fish & Richardson P.C.  
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Boston, MA 02110-2804

Respectfully submitted,



Kenneth F. Kozik  
Reg. No. 36,572  
Enclosures  
KFK/dmm  
20072523.doc

**Applicant or Patentee:** Santa Wiryanan and David A. Romrell  
**Serial or Patent No.:** Not yet assigned  
**Filed or Issued:**  
**For:** Bandwidth Management System

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(c)) — SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
- ☒ an official of the small business concern empowered to act on behalf of the concern identified below:

**Name of Small Business Concern:** Sitara Networks  
**Address of Small Business Concern:** 60 Hickory Drive, 3<sup>rd</sup> Floor, Waltham, Massachusetts 02154

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.802, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled by inventor(s) described in:

- ☒ [X] the specification filed herewith.  
☐ application serial no. \_\_, filed \_\_.  
☐ patent no. \_\_, issued \_\_.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Full Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
☐ INDIVIDUAL    ☐ SMALL BUSINESS CONCERN    ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status when any new rule 53 application is filed or prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent on which this verified statement is directed.

Name:	Manickam Sridhar
Title:	CHIEF TECHNOLOGY OFFICER
Address:	60 Hickory Drive, Waltham, Massachusetts 02451

Signature: [Signature] Date: 7/0/00